



Attorney's Docket No. 40989/237225(9280-12)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Stomp et al.

Appl. No.: 09/915,873

Filed: July 26, 2001

For: EXPRESSION OF BIOLOGICALLY ACTIVE
POLYPEPTIDES IN DUCKWEED

Group Art Unit: 1638

Examiner: ~~Not Assigned~~

Helmer

November 13, 2001

Commissioner for Patents
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

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<p>Customer No. 00826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260</p>	<p><u>CERTIFICATE OF MAILING</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on November 13, 2001.</p> <p><i>Nora C. Martinez</i> Nora C. Martinez</p>
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Substitute for form 1449A/PTO **AM 1 6 2602** 4

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	09/915,873
		Filing Date	July 26, 2001
		First Named Inventor	Stomp et al.
		Group Art Unit	1638
		Examiner Name	Not Assigned
Sheet 1 of 1	Attorney Docket Number	040989/237225(9280-12)	

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code (if known)			
gll	1	4,956,282		Goodman et al.	09-11-1990	
	2	5,629,175		Goodman et al.	05-13-1997	
	3	5,850,032		Wann	12-15-1998	
	4	5,994,628		Rodriguez	11-30-1999	
	5	6,020,169		Lee et al.	02-01-2000	
	6	6,040,498		Stomp et al.	03-21-2000	
	7	6,066,781		Sutliff et al.	05-23-2000	
	8	6,096,547		Goodman et al.	08-01-2000	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

RTA01 2105959v1

Examiner Signature	DR. GEORGIA HELMER	Date Considered	11/20/02
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.